Medicaid Home and Community-Based Services Assessment Tools

Non-Residential Settings

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Agenda Overview

- Overview of the Centers for Medicare & Medicaid Services (CMS) Final Rule
- Review Home and Community-Based Services (HCBS) Characteristic Tools
  - Non-residential settings
- Discussion of Draft HCBS Characteristic Tools
Terminology

**HCBS** – Home and Community-Based Services

**HCB Settings** – Home and Community-Based Settings

**HCBS Characteristics** – Collective term for the following home and community-based characteristics:

- Home-Like Environment
- Person-Centered Planning
- Community Inclusion
Intent of the Final Rule

• To ensure recipients receiving long-term services and supports through HCBS programs under the 1915(c), 1915(i) and 1915(k) Medicaid authorities have full access to benefits of community living and the opportunity to receive services in the most integrated setting appropriate.

• To enhance the quality of the HCBS and provide protections to participants.
Affected Florida Medicaid Programs

• 1915(b)(c) Long-term Care Waiver
• 1915(c) Model Waiver
• 1915(c) Familial Dysautonomia Waiver
• 1915(c) Project AIDS Care Waiver
• 1915(c) Traumatic Brain Injury and Spinal Cord Injury Waiver
• 1915(c) Adult Cystic Fibrosis Waiver
• 1915(c) Developmental Disabilities Individual Budgeting Waiver
• 1915(i) HCBS State Plan Service/Redirections
HCBS Characteristics

• **Home-like environment**
  “A home-like environment is one that de-emphasizes the institutional character of the setting.”

• **Person-Centered Planning**
  A process that results in a plan of care with individually identified goals and preferences, including those related to community participation, employment, income and savings, health care and wellness, education and others.

• **Community Inclusion**
  Participation by recipients receiving Medicaid HCBS in the greater community to the same extent as those not receiving Medicaid HCBS.
Key Themes of the Rule

- Improve how recipients experience daily life.
- Provide support for states and stakeholders making transitions to more inclusive operations.
- Enhance choice among settings that meet a level playing field.
Hallmarks of Compliant Settings

• Integrated in and supports access to the greater community.

• Provides opportunities to seek employment and work in competitive integrated settings, engage in community life, and control personal resources.

• Ensures recipients receive services in the community to the same degree of access as individuals not receiving Medicaid HCBS.
Florida’s Next Steps

- The state will assess impacted provider sites to determine initial compliance with the HCBS Rule.
- Based on assessment results, the state will work with providers to remediate deficiencies and achieve compliance.
- The state will update its draft Statewide Transition Plan with additional information on:
  - The results of its assessment activity,
  - Remediation strategy, and
  - Monitoring strategy.
Affected Settings

The state will conduct initial compliance assessments and continue to monitor the following provider sites:

**Residential**
- Adult Family Care Home
- Assisted Living Facility
- Group Home
- Residential Habilitation Center

**Non-Residential**
- Adult Day Care Center
Elements of the Assessment Tools

Instruction Sheet
• Background
• Tool Layout
• Documentation

Cover Sheet
• Basic information about the setting
• Varies by waiver and setting

Tool
• Residential
• Non-Residential
Non-Residential Tool

The tool is made up of two sections:

1. Community Integration
2. Respect/Rights/Choice

Along with the tool, probing questions are provided to assist evaluators in determining whether each standard is met.
Community Integration Standard 1

• The setting’s common areas are accessible and traversable.

• The setting is located among community resources and accessible to the same degree as recipients not receiving Medicaid HCBS.

• The facility aids recipients who wish to pursue competitive employment in the community.
Respect/Rights/Choice
Standard 2

• Recipients are presented with setting options and have freely selected the current setting.

• Recipients or their designee are part of the person-centered planning.

• The setting optimizes choices, including but not limited to daily activities, physical environment, and with whom to interact.

• Recipients can bring their own personal items into the setting, e.g., money, food.
Respect/Rights/Choice Standard 2 - Continued

- Recipients keep/control of their own personal items, e.g., money, food.

- The setting promotes a recipient’s rights of privacy, dignity, and respect, and freedom from coercion and restraint.

- Recipients are treated respectfully by the staff.

- Recipients know how to file an anonymous complaint.
HCBS Rule References

Many websites are available on the Internet that provide access to the new rule.

- AHCA Website: [http://ahca.myflorida.com/Medicaid/hcbs_waivers/index.shtml](http://ahca.myflorida.com/Medicaid/hcbs_waivers/index.shtml)
- AHCA contact: [Elizabeth.Stinson@ahca.myflorida.com](mailto:Elizabeth.Stinson@ahca.myflorida.com)
Discussion

To submit suggestion in writing, please email

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