

# Agency for Health Care Administration Assisted Living Facilities

June 25, 2012

## Assisted Living Growth

- 32% Increase in Assisted Living Facilities (ALF)
- 2,272—2003
- 3,009— June 1, 2012
- 80% Increase in ALFs with Limited Mental Health
- 7,824 OSS Recipients Enrolled in the State

# ALF BED PERCENTAGES

ALF with six or fewer beds	52.43%
ALF with 7-10 beds	7.38%
ALF with 11-16 beds	9.47%
ALF with 17-25 beds	3.59%
ALF with 26-50 beds	8.54%
ALF with 51-75 beds	6.68%
ALF with 76-100 beds	4.29%
ALF with 101-150 beds	5.55%
ALF with 151 or more	2.06%
Smallest ALF size = 2 beds	Largest ALF size = 495 beds

## MIAMI ALFs

• ALF with six or fewer beds	80.81%	817 Facilities
• ALF with 7-10 beds	4.15%	42 Facilities
• ALF with 11-16 beds	8.80%	89 Facilities
• ALF with 17-25 beds	1.38%	14 Facilities
• ALF with 26-50 beds	2.08%	21 Facilities
• ALF with 51-75 beds	1.19%	12 Facilities
• ALF with 76-100 beds	0.40%	4 Facilities
• ALF with 101-150 beds	0.79 %	8 Facilities
• ALF with 151 or more	0.40%	4 Facilities

- Note: There are 1090 LMH ALFs in Florida; Miami has 753 LMH ALFs (69%)  
There are 1011 ALFs in Miami and 753 are LMH (75%)

# ADDITIONAL FACILITY STATISTICS

	% Incr. # ALFs	% Incr. # Beds	% of ALFs ≤ 6 Beds	% of ALFs ≤ 25 Beds	% ALFs w LMH Beds	% ALFs w ECC Beds	ECC Beds as % of Total	% ALFs w OSS Beds	OSS Beds as % of Total
<b>2003</b>			37%	65%	27%	18%	25%	52%	18%
<b>2004</b>	0%	-3%	38%	66%	33%	15%	24%	52%	19%
<b>2005</b>	1%	-1%	37%	68%	34%	14%	22%	53%	19%
<b>2006</b>	2%	0%	41%	67%	35%	13%	21%	52%	19%
<b>2007</b>	4%	2%	43%	67%	36%	13%	20%	51%	19%
<b>2008</b>	8%	2%	47%	69%	38%	11%	21%	52%	19%
<b>2009</b>	5%	3%	50%	70%	38%	11%	21%	52%	19%
<b>2010</b>	2%	2%	52%	73%	38%	11%	21%	53%	19%
<b>2011</b>	4%	2%	52%	72%	37%	9%	17%	51%	19%
<b>2012</b>	3%	2%	52%	73%	36%	9%	22%	50%	18%

## ALL ALF INSPECTIONS, COMPLAINTS, MONITORING VISITS, and FOLLOW-UP VISITS

FY 06/07	6,274 visits to ALFs
FY 07/08	6,892 visits to ALFs
FY 08/09	6,060 visits to ALFs
FY 09/10	6,455 visits to ALFs
FY 10/11	6,327 visits to ALFs
July 11/12( thru June 1 <sup>st</sup> )	6,024 visits to ALFs

# ALF INSPECTIONS and VIOLATIONS

	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12
<b>Surveys</b>	1,726	1,897	1,725	2,114	2,105	2,354
<b>Class I</b>	60	41	55	25	109	107
<b>Class II</b>	256	242	260	215	351	746
<b>Class III</b>	11,151	12,025	10,262	12,506	11,696	8,846
<b>Class IV</b>	1,878	2,362	1,257	1,577	731	261
<b>Total Class Violations</b>	13,345	14,670	11,834	14,323	12,887	9,960

## AGENCY ACTIONS

- From July 1, 2011 through June 1, 2012
  - The Agency issued 595 Final Orders for ALFs
  - Issued 11 Suspensions and Moratoria
  - Denials- 9
  - Revocations- 17
  - Closed/Surrendered- 38
  - Imposed \$1,513,046.65 in Sanctions by Final Order



## AGENCY DEVELOPMENTS and UPDATES

- Weekly facility actions meetings with Agency leadership on HQA and Medicaid issues
- Monthly press releases regarding sanctions, closures, and other actions
- Enhanced Florida Health Finder by adding the Medicaid services provided by the facility and Smartphone Apps to locate facilities faster
- ALF Enforcement Unit established
- Monthly interagency meetings with Agency partners

## AGENCY DEVELOPMENTS and UPDATES

- Revised Assisted Living Survey Process
- Statewide joint training for administrators, providers (FHCA , LeadingAge, FALA and AHCA training)
- ALF awareness training for waiver support coordinators and agency staff(Joint venture between HQA and Medicaid)
- Joint Training activities with other departments and agencies (provider and staff training)
- Access to systems and data used by Partner Agencies
- Working with DOEA in the Negotiated Rulemaking process

## AGENCY DEVELOPMENTS and UPDATES

Weekly facility actions meetings with Agency leadership on HQA and Medicaid issues

- These meetings are used to better communicate actions by Medicaid and HQA
- The meetings ensure that the Agency management in both areas are aware of the concerns and issues affecting ALFs

## AGENCY DEVELOPMENTS and UPDATES

Monthly press releases regarding sanctions, closures, and other actions

- The Agency attempts to keep the public aware of the actions taken against facilities every month through monthly press releases.
- These press releases are usually issued by the 10<sup>th</sup> day of each month.

## AGENCY DEVELOPMENTS and UPDATES

Enhanced Florida Health Finder by adding the Medicaid services provided by the facility and Smartphone Apps to locate facilities faster

- Consumer awareness and the dissemination of information to allow Floridians to make better healthcare choices.
- Florida Health Finder has a new face, the site provides more information on Emergency Orders. Medicaid information is now located at the bottom of a facility's profile page, and smart phone apps are available for Iphone and Android phones.

## AGENCY DEVELOPMENTS and UPDATES

### ALF Enforcement Unit established

- A 10 person team responsible for statewide oversight of assisted living facility inspection enforcement, serve as liaisons with local law enforcement and other partners such as the Ombudsman, Department of Health and Department of Children and Families
- Separated functionally from the field office survey staff and will reports to the Bureau Chief of Field Operations in Tallahassee quality assurance results to facilitate oversight of the program
- Enforcement staff located in each Agency field office

## AGENCY DEVELOPMENTS and UPDATES

Monthly interagency meetings with Agency partners

- The Agency meets with members of DOEA, DCF, the Long Term Care Ombudsman's Office, DOH, and APD monthly to discuss ALF issues and concerns.

## AGENCY DEVELOPMENTS and UPDATES

### Revised Assisted Living Survey Process

- The Agency has developed a more resident focused survey process
- Interviews with residents are now increased to accomplish these surveys



## AGENCY DEVELOPMENTS and UPDATES

Statewide joint training for administrators, providers, and associations (FHCA, LeadingAge, and FALA)

- Joint AL provider/surveyor training at four locations around the state.
- The training included specific survey/compliance issues, including the most frequently cited deficiencies, information regarding behavioral management provided by DCF staff and updates from the State Ombudsman.
- The Agency plans to continue this training on an annual basis